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INTERE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	John McCalla)	Docket No.:	6055.3-3
	Yves D'Aoust)		
Serial No.:	10/631,209)	Examiner:	TBD
)		
Filed:	July 31, 2003)	Art Unit:	2611
)		
For:	System and Method for)		
	Providing Real-Time Ticker)		
	Information)		

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop: DD

Commissioner for Patents

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Dear Sir:

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the documents listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application.

Pursuant to 37 C.F.R. §1.97(e), Applicants hereby certify that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. A copy of the search report mailed on April 26, 2004 is enclosed.

Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), Applicants makes no representation that these documents qualify as prior art or that these documents are material to patentability of the present application or that a search has been made.

Applicants believe no fee is due. However, please charge any fees or credit any overpayments associated with this Information Disclosure Statement to Deposit Account No. 13-4900.

Respectfully submitted,

Marc A Hubbard Reg. No. 32,509

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ETRADES

(Use as many sheets as necessary)

Complete if Known					
Application Number .	10/631,209				
Filing Date	July 31, 2003				
First Named Inventor	John McCalla				
Art Unit	2611				
Examiner Name	TBD				
Attorney Docket Number	6055 3-3				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,064,438	05/16/2000	Miller	1-66
		^{US-} 6,012,046	01/04/2000	Lupien et al,	1-66
		^{US-} 6,335,764	01/01/2002	Hashimoto et al,	1-66
		^{US-} 2003/0211878A1	11/13/2003	Walker et al,	1-66
		^{US-} 6,493,876	12/10/2002	DeFreese et all	1-66
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Γ	
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Examiner Signature	Date Considered	

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INFO	DRMATION	DIS	CLOSURE	Filing Date	July 31, 2003	
STATEMENT BY APPLICANT		First Named Inventor	John McCalla			
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(Use as many sheets as necessary)			ecessary)	Examiner Name	TBD	
Sheet		of		Attorney Docket Number	6055.3-3	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PCT Search Report (PCT/US03/23940) mailed April 26, 2004; 7 pgs.	
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